



## Exercises:



- In the following you find some suggestions for exercises, which teach you various tasks one may perform with WIEN2k.
- Please note, that often “computational parameters” are set to “minimal cpu-time” instead of “fully converged calculations”.
- Do not use such small values for final results and publications without convergence checks !!



# setup of environment



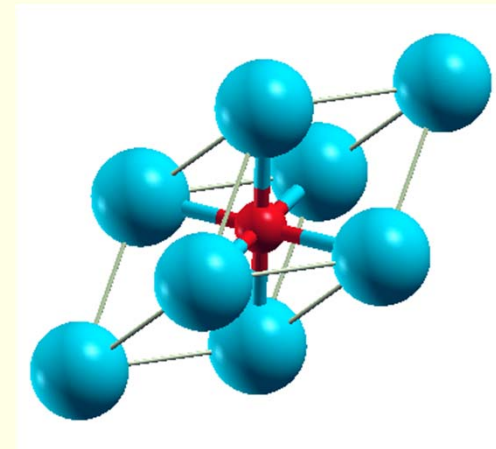
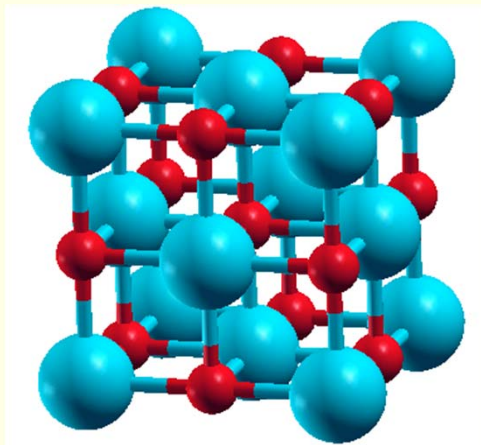
- The description assumes that all software is installed in `/opt` . (`ls /opt`)
- add wien2k:
  - `cd /opt/WIEN2k`
  - `./userconfig`                    (*defines PATH and some variables*)
- add xcrysden:
  - `cd /opt/XCrySDen/1.4.1bin-static`
  - `./xcConfigure.sh`
- add wien2wannier:
  - `cd /opt/wien2wannier`
  - `./link_w2w.sh`
- add path to wannier90
  - `cd ; edit .bashrc` (*you can use the PASS icon, view/show\_hidden\_files*)
    - find line : `export PATH= ...` and add at the end of the line
    - `...:/opt/wannier90-1.2`
- activate environment:
  - `source .bashrc`
- now you are ready to start w2web (define your userid/pw, port-number) and connect via a webbrowser (firefox)



## Exercise 1: Getting started:



- i) Open a terminal window.
- ii) Start w2web (accept all defaults, specify account, port)
- iii) Connect with firefox to w2web as indicated on the screen of ii)
- iv) Try the "quick-start" example for **TiN** (similar to TiC in the UG)
  - *Generate structure ( $a=4.235$  Ang; reduce RMT by 1%)*
  - *view structure with Xcrysden (switch from primitive to conventional cell)*



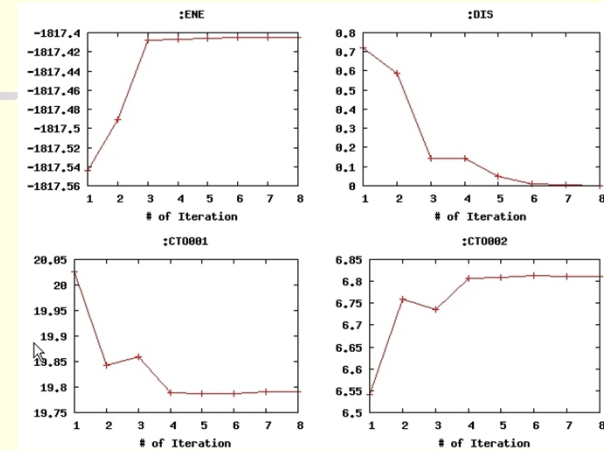
- *init\_lapw (use defaults, 1000 k-points)*
- *scf-cycle (run\_lapw, use defaults; monitor "STDOUT" and "dayfile")*
  - How many iterations did you need ? How long took a single scf-iteration ?



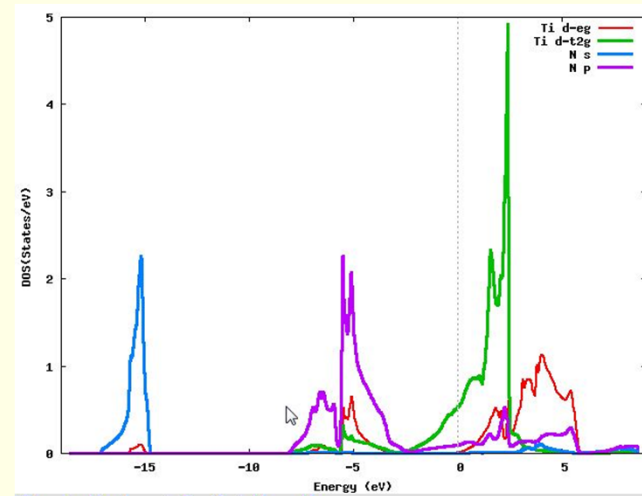
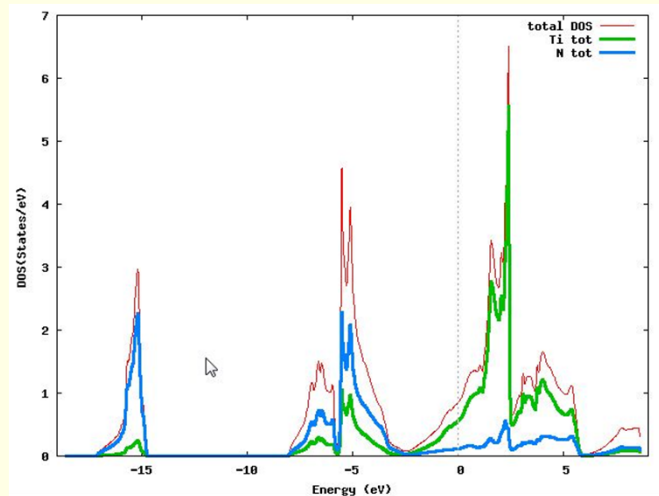
# TiN continued



- *utilities: analyse*
  - (:ENE, :DIS, :CTO) graphically



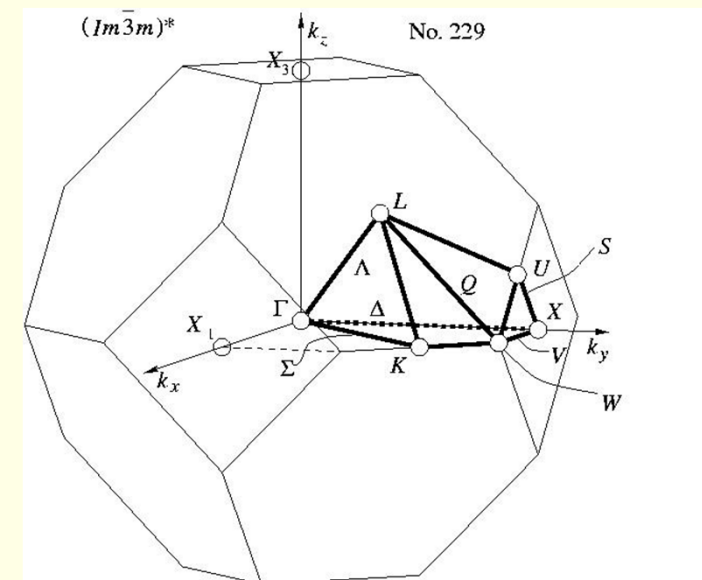
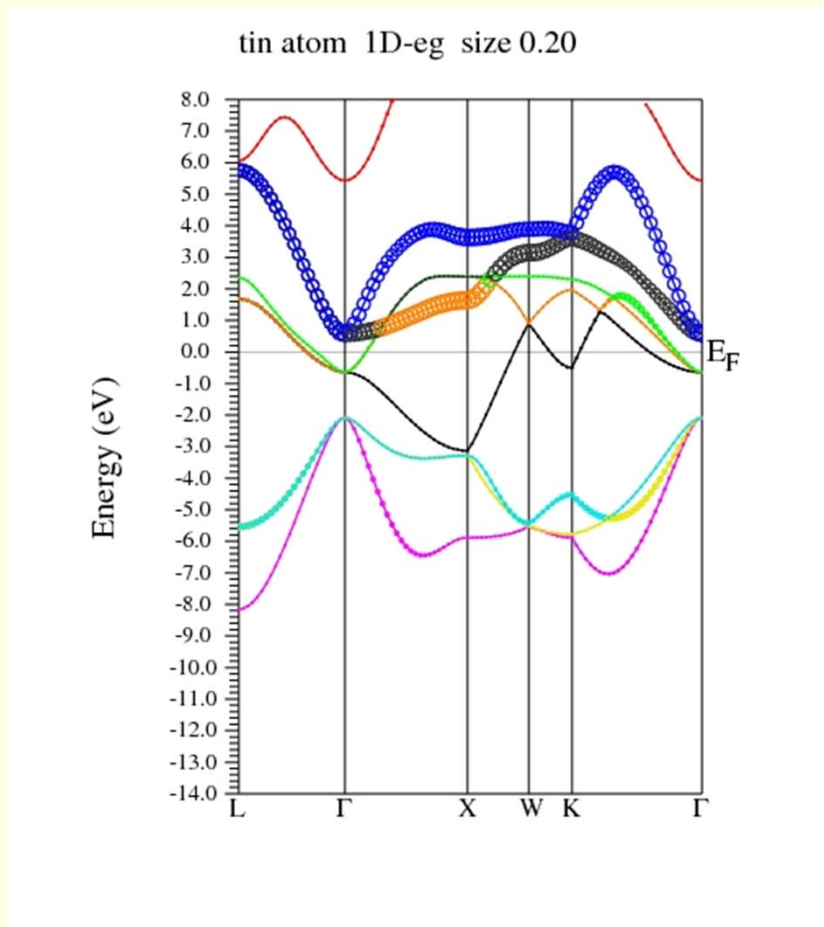
- *utilities: save\_lapw (use as save-name: "TiN\_exp\_pbe\_rk7\_1000k")*
- *DOS (plot 7 cases: total + Ti-tot + N-tot and Ti-eg + Ti-t2g + N-s + N-p)*





# TiN continued

- bandstructure (along L-Gamma-X-W-K-Gamma with "character plotting")
  - use *xcrysden* (save as „*xcrysden.klist*“; select „from *xcrysden*“ in next step)
  - identify "t2g-" and "eg-" bands



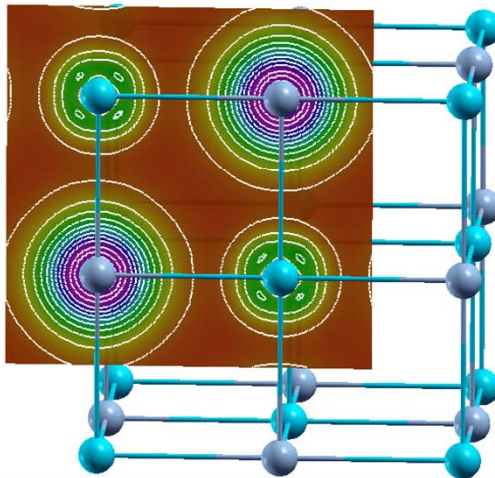


# TiN continued ...

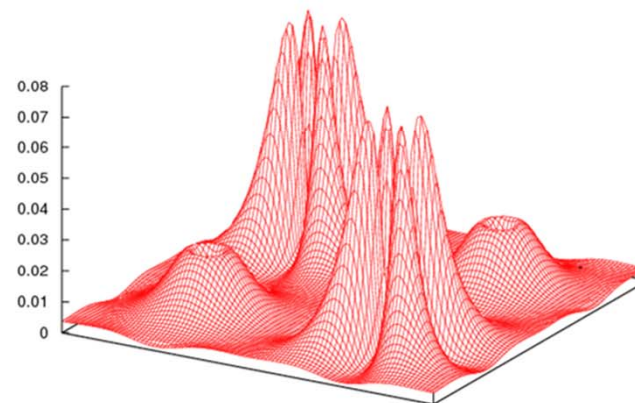


- *electron density* (use *xcrysden* to select the (100) plane), view it in *xcrysden* and *rhoplot* to “understand contour and 3D-plots”
  - valence density (without semicore, check *TiN.scf1* to find a EMIN which truncates the Ti-3s,3p states); compare the density around Ti with TiC (UG)
  - difference density (observe “charge transfer” and “t<sub>2g</sub>-anisotropy” around Ti)
  - densities of the “N-p” and “occupied Ti-d-band” (get the corresponding E-intervals from DOS-plots (in Ry!), check UG how to modify *TiN.in2* in order to obtain the density in a certain E-interval (search for “*case.in2*”); observe the e<sub>g</sub> and t<sub>2g</sub> asymmetry around Ti and the different N-p “weights”, explain the chemical bonding

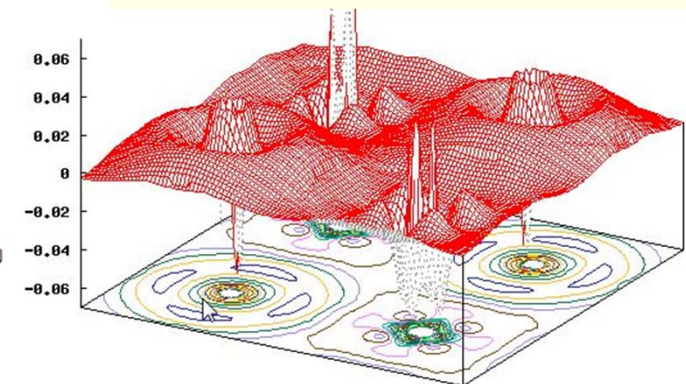
valence  $\rho$



Ti-d band



difference density



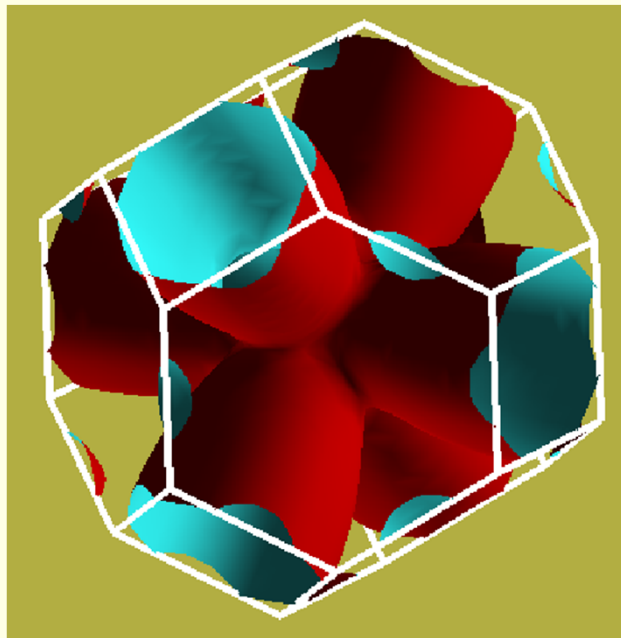


## TiN continued ...



### ■ *Fermi surfaces*

- open a terminal, change into the TiN directory and issue:
- `xcrysden -wien_fermisurface tin.struct`
  - choose a good k-mesh (eg. 10000 points); (DON'T CHANGE to UNIT 5 !!!)
  - plot the FS for all bands (9, 10,11) which cross  $E_F$  and compare to band structure



- *for 2D plots there is also a WIEN2k-tool „fsgen“ (see UG)*



## Exercises 2: Testing accuracy: RKmax and k-points

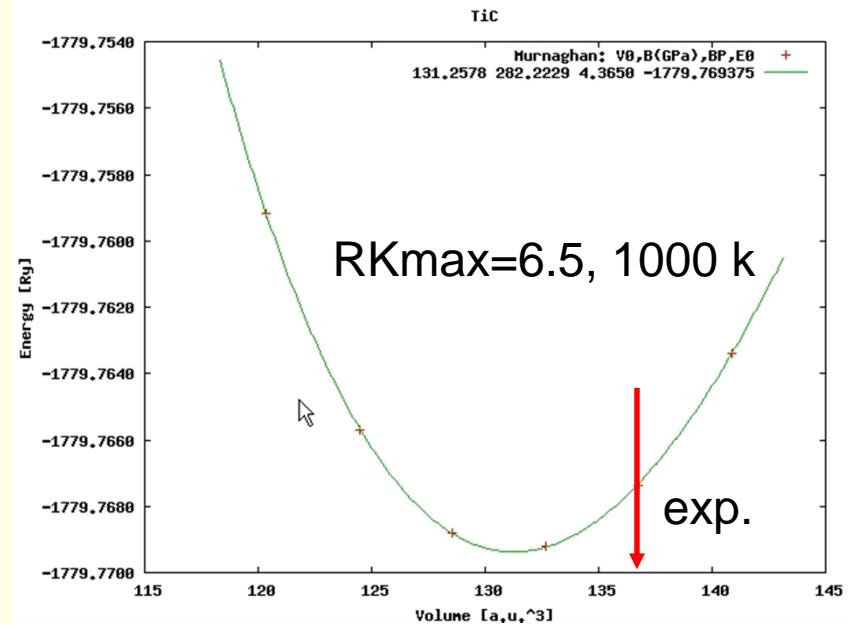
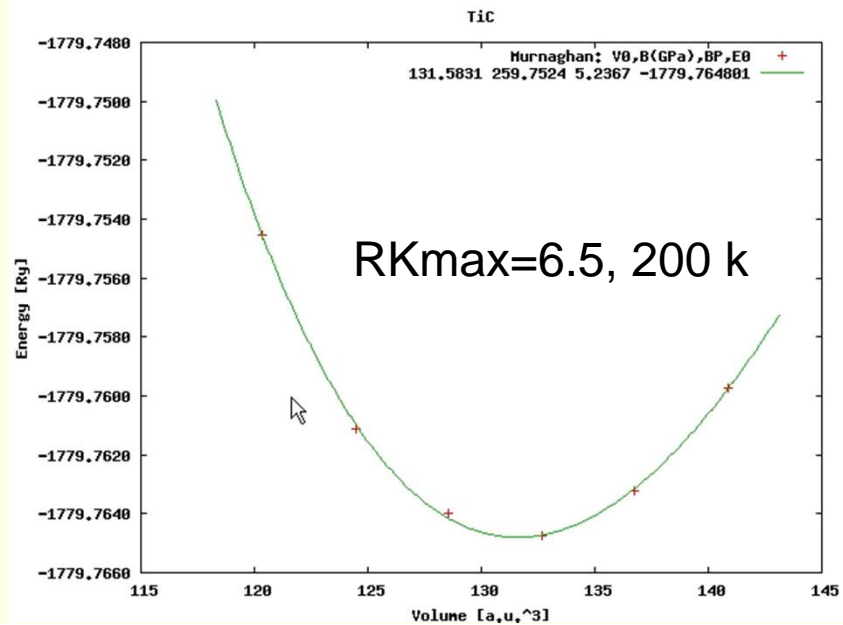
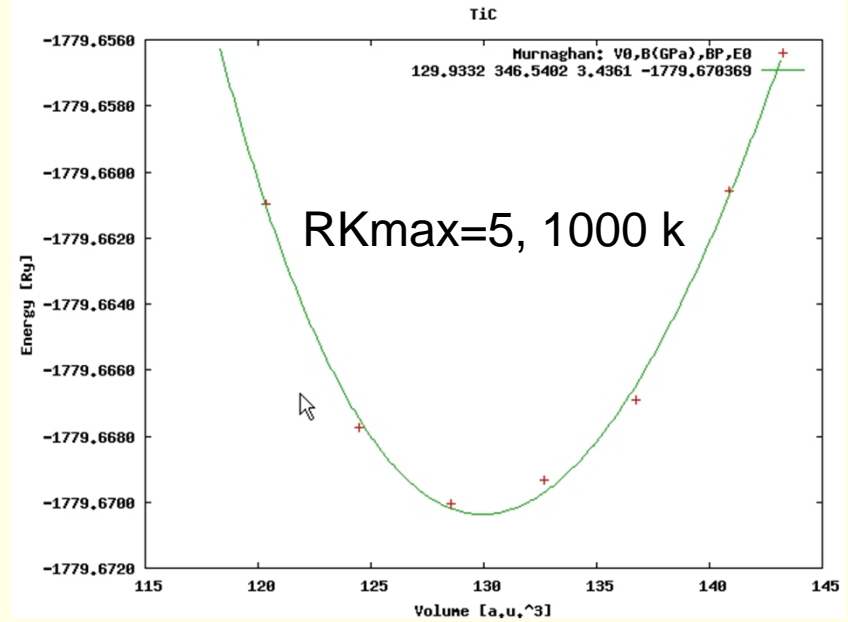
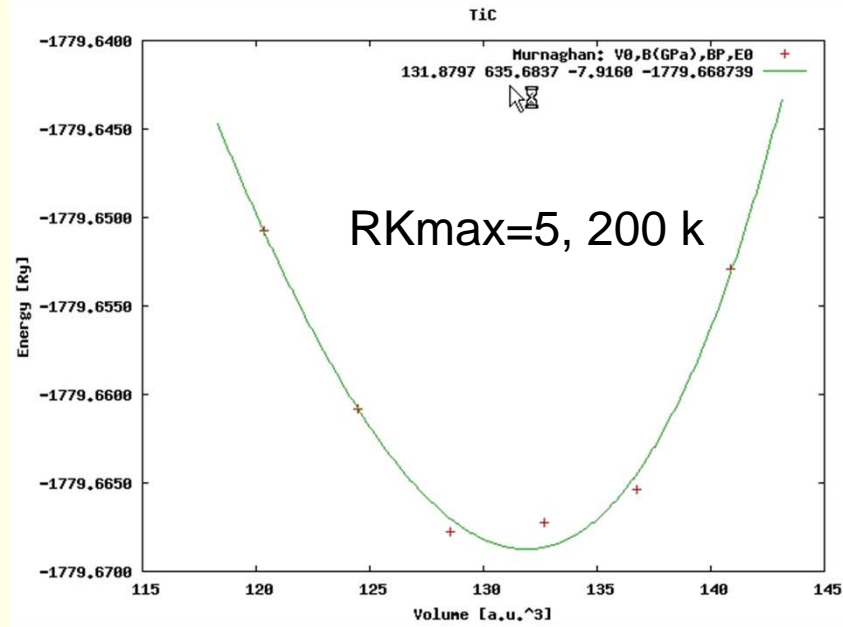


- TiC (fcc,  $a=4.328$  Ang, setrmt – 4%, LDA) and calculate the equilibrium volume ("optimize") using:
  - ( $RKmax=5$  200 k-points); ( $RKmax=6.5$  200 k); ( $RKmax=5$  1000 k); ( $RKmax=6.5$  1000 k) and ( $RKmax=8$  5000 k)
- a) run x optimize and generate 6 structures (-12, -9, -6, -3, 0, 3% volume change)
  - (because of LDA we expect 1-2% smaller lattice parameter (3-8% in volume) than experiment)
- b) set RKMAX=5 in TiC.in1 and x kgen with 200 k-points
- c) edit "optimize.job". Modify the "run\_lapw" and "save\_lapw" commands to:
  - `run_lapw -cc 0.001 -ec 0.0001`
  - `save_lapw  $\{i\}$ _rk5_200k`
- d) run optimize.job, plot the results (using \*rk5\_200k)
- e) set RKMAX=6.5 in TiC.in1
- f) edit "optimize.job". Uncomment the cp line and modify:
  - `cp  $\{i\}$ _rk5_200k.clmsum TiC.clmsum # Using previously converged densities saves a lot of CPU time!!`
  - ...
  - `save_lapw  $\{i\}$ _rk6.5_200k`
- g) repeat step d) (plot the results for "\*\_rk6.5\_200k")
- h) repeat steps above with proper modifications
  
- Find out how RKmax and k-points lead to smooth/non-smooth curves. Estimate good values and compare in particular B and BP (Bulkmodulus and its volume derivative).
- Remember: Depending on the specific property you want to calculate (just a DOS, or Energy-Volume curves, or EFG, or structure optimization with forces,..) and the desired accuracy, the types of atoms, insulator/metal and system size you may need different RKmax and k-point samplings:
  - H:  $RKmax > 2.5$ ; sp-elements:  $RKmax > 5$ ; d-elements:  $RKmax > 6$ ; f-elements:  $RKmax > 7$ ;
  - 1 atom/cell, metal: 1000-10000 k-points or more
  - 1 atom/cell, insulator: 100-1000 k-points or more
  - For N atoms/cell you can reduce the k-mesh by a factor N
  
- Remember: Always test your **specific property** for convergence !!





# Volume optimization for TiC





## Exercise 3: optimization of positions in $\text{Mg}(\text{OH})_2$



### ■ create two "cases" (directories) for PORT and MSR1a optimization

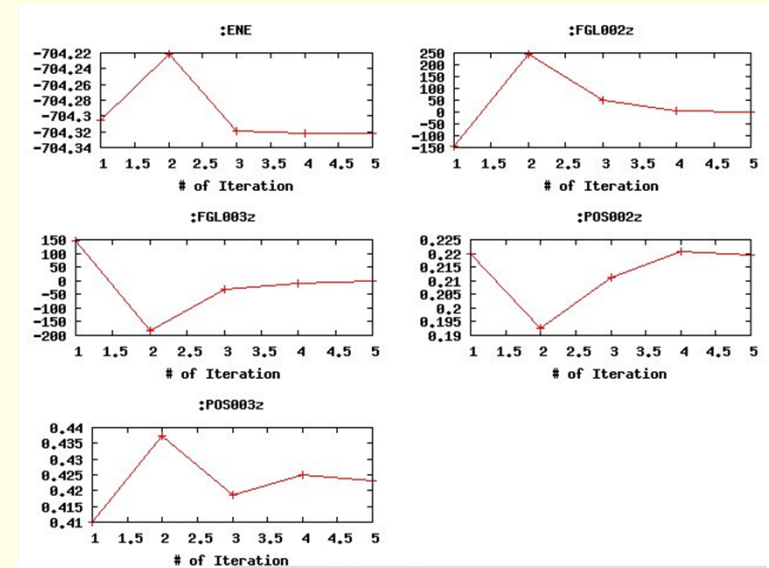
- initialize both cases (or copy after init one case to the other and use „rename\_files“)
- $P-3m1$  (164),  $a=b=3.15$   $c=4.77$  Å  $\gamma=120^\circ$ ;  $\text{Mg}(0,0,0)$   $\text{O}(1/3,2/3,0.22)$   $\text{H}(1/3,2/3,0.41)$ ; RMT: -7%
- `init_lapw -b -numk 100 -rkmax 3`

### ■ minimization using PORT:

- `min_lapw` (or „mini-positions in w2web“)
- `save_lapw case_relaxed_rkm3`
- analyze `case.scf_mini`
  - `:ENE :FGL002z :POS002z :FGL003z :POS003z`
- Find out how many scf cycles you needed
  - `grep line :ITE '*scf' 1` (in terminal)

### ■ check RKMAX convergence:

- increase RKMAX to 3.5 (`case.in1`)
- run `-fc 1`



atom independent parameters:  
 ENE  FER  DIS  NEC-new  NEC-old  MMTOT

atom dependent parameters:  
 QTL  EFG  ETA  CHA  DTO  CTO  NTO

atom dependent vector parameters:  
 FOR  FGL  POS ( x-  y-  z-coordinate for scfmonitor)

for spin polarized systems:  
 CUP  CDN  HFF  MMI

other parameter:  
 ITE

Select atom for atom dependent param. (0 means all atoms, up to 6 atoms possible):  
2 3 0 0 0 0

Analysis of:  MgOH2.scf with 10 lines.  
or of alternate scf-files:  MgOH2.scf\_mini with 100 lines.

Graphics using scfmonitor (only for single scf file)

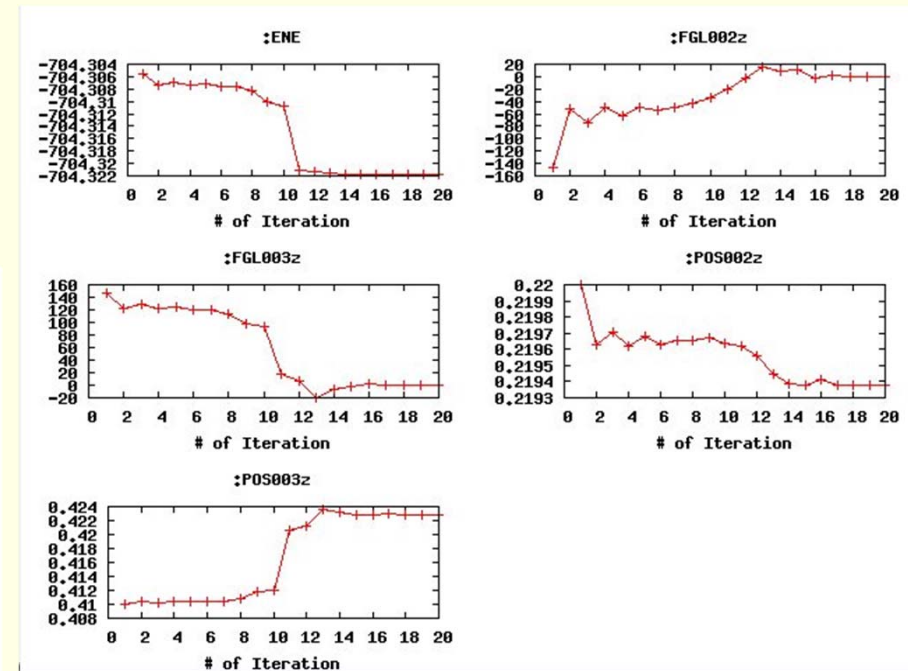
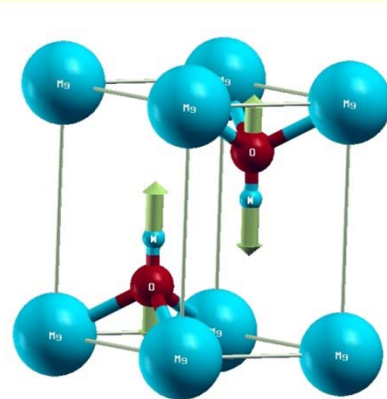


# Mg(OH)<sub>2</sub> continue



## ■ minimization using MSR1a:

- *run crude scf cycle to come down to „Born-Oppenheimer“ surface*
  - `run -fc 3; save_lapw case_initial`
- *change MSR1 to MSR1a in case.inm, optimize using:*
  - `run -fc 1 -cc 0.0001 -ec 0.00001`
- *analyze **case.scf** and find out how many scf cycles you needed*
  - `:ENE :FGL002z :POS002z :FGL003z :POS003z :ITE`
- *save\_lapw case\_final*
- *use the „arrows“ utility to display initial forces and final relaxations (see UG p.168)*

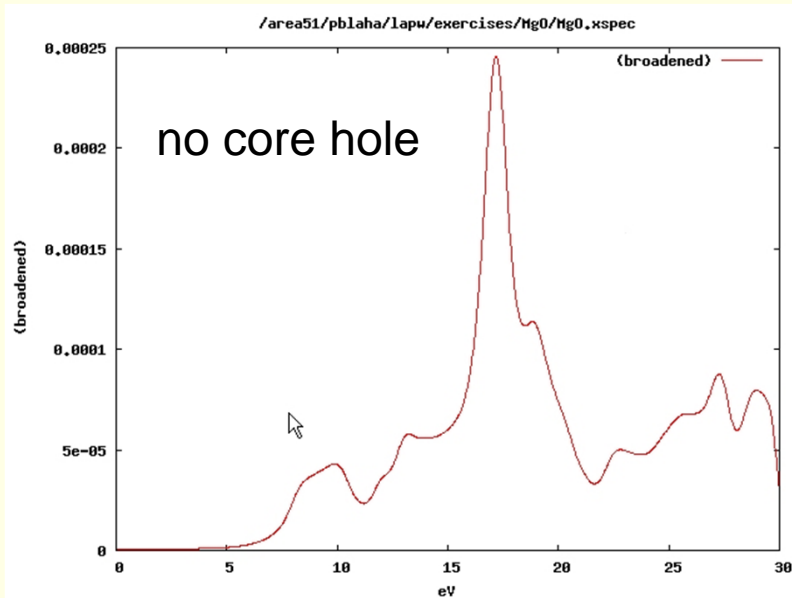




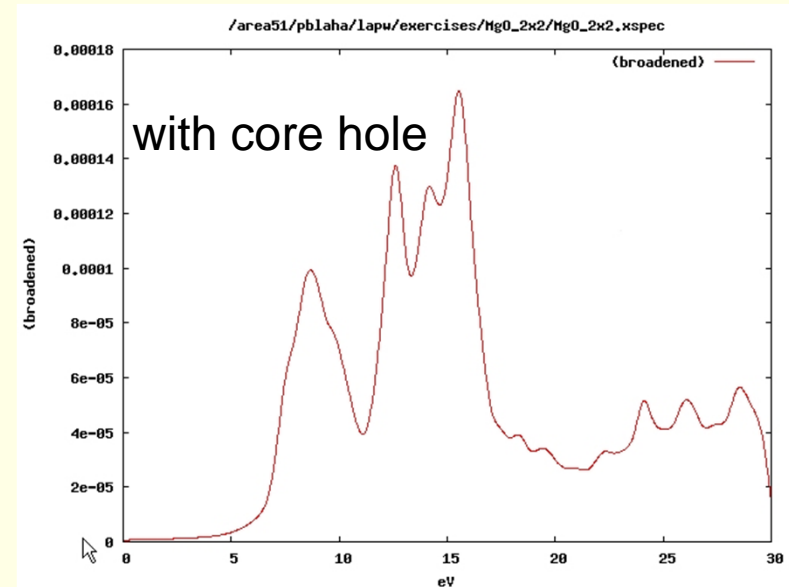
## Exercise 4: Mg K-XAS in MgO



- **MgO** (NaCl structure,  $a=7.96$  bohr; default initialization with 1000 k-points; scf-cycle)
  - *XSPEC task: larger EMAX in MgO.in1; select in MgO.inxs: Mg-K ABS from 0-30 eV, vary broadening)*
- **Supercells: MgO 2x2x2 FCC-supercell for core-hole simulation**
  - *create new "session", copy MgO.struct into new directory*
  - *x supercell; (specify proper struct-filename, 2x2x2, F-lattice)*
  - *cp supercell-struct file to correct name "case.struct"; "label" 1<sup>st</sup> atom (Mg  $\rightarrow$  Mg1)*
  - *init\_lapw (with 200k, RKmax=6.5)*
  - *edit case.inc (remove a core electron from 1<sup>st</sup> atom)*
  - *edit case.in2 (add one valence electron)*
  - *run\_lapw (for bigger calc. use -it and compare timings for 1<sup>st</sup> and later iterations!)*
  - *edit case.in2 (remove extra valence electron)*
  - *XSPEC task for Mg-K XAS (see above)*



Mg-K XAS



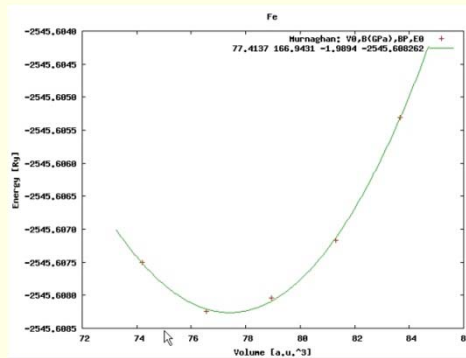


# Exercise 5:



## ■ Magnetism: bcc Fe ( $a_0=2.86 \text{ \AA}$ )

- *setrmt: -3%; 5000k; spin-polarization:yes, use RKmax=7, then 8*
- *do a volume optimization (-6, -3, 0, 3, 6 %) (activate runsp\_lapw instead of run\_lapw !)*
  - *check equilibrium volume, :MMTOT as function of volume*



--- MMTOT ----- in 5 files:

Fe\_vol\_\_0.0\_rk8\_5000k.scf::MMTOT: 2.204

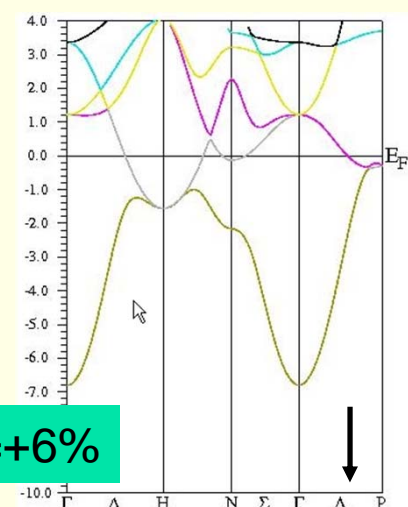
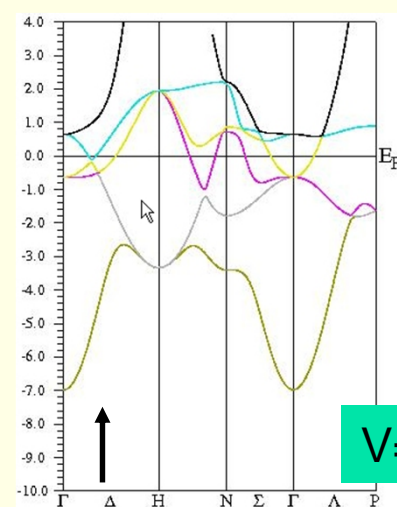
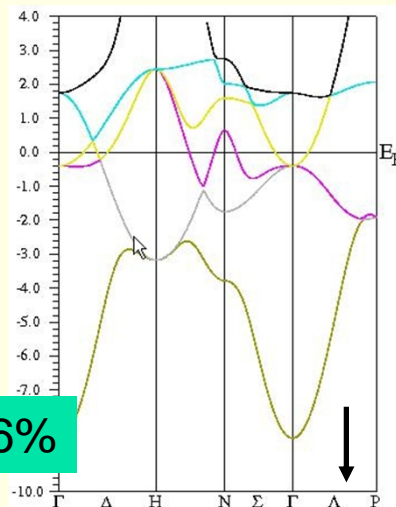
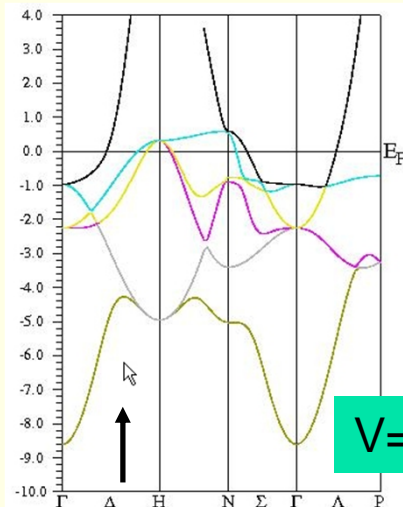
Fe\_vol\_\_3.0\_rk8\_5000k.scf::MMTOT: 2.258

Fe\_vol\_\_-3.0\_rk8\_5000k.scf::MMTOT: 2.159

Fe\_vol\_\_6.0\_rk8\_5000k.scf::MMTOT: 2.320

Fe\_vol\_\_-6.0\_rk8\_5000k.scf::MMTOT: 2.114

- *compare bandstructure and DOS for large/small volumes (restore\_lapw; x lapw0 "recreates" potentials)*

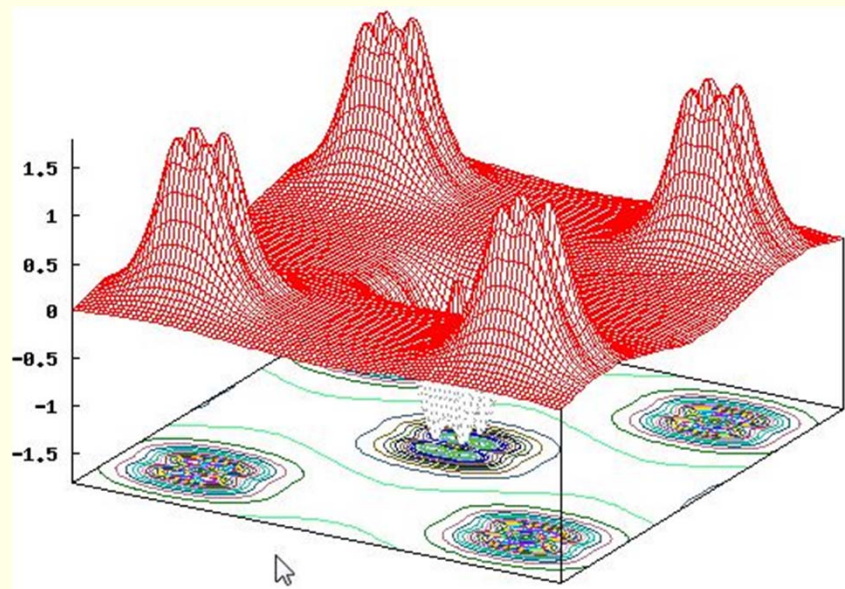




## Exercise 5:



- **Antiferromagnetism: bcc Cr ( $a_0=2.885 \text{ \AA}$ )** (use 5000k, -cc 0.001)
  - *try a ferromagnetic solution (bcc cell with 1 Cr)*
  - *antiferromagnetic calculation (P cell with Cr1 and Cr2 (at 0.5,0.5,0.5))*
    - for afminput your symmetry operation is "identity+(0.5,0.5,0.5)"
  - *is FM or AFM Cr more stable? (:ENE :-2101.769475 vs. -4203.543208 Ry)*
  - *is FM stable at all ? check moments (MMI001: 0.000 vs.  $1.116\mu_B$ ; what "means" 0.0 ???)*
  - *plot spin-densities in the (110) planes (observe  $t_{2g}$ -asymmetry)*





## Exercise 6:



### ■ LDA+U: NiO: NaCl structure, A-type AFM along [111]

- *R-cell: 5.605236 5.605236 27.459934 bohr*
- *3 non-equivalent atoms: Ni1 (0,0,0), Ni2 (0.5,0.5,0.5), O  $\pm$ (.25,.25,.25) ("add 2<sup>nd</sup> position" **after** first "save\_structure"). View and understand the structure (Xcrysden)*
- *case.inst: flip spin for Ni2, make O "non-magnetic"; use 100k-points*
- *GGA calculations (save\_lapw NiO\_gga)*
- *GGA+U calculations (save\_lapw NiO\_gga+u)*
  - (use U=7eV, J=0; search the UG to understand case.inorb/indm)
- *GGA+SO calculations (without relativistic LO, Emax=5.0, new k-mesh!)*
  - after scf: x lapwdm -up -so (for :orb001 in NiO.scfdmup)
- *GGA+U+SO calculations (cp NiO.indm NiO.indmc)*
- *compare DOS (total, Ni1, Ni2, O) for GGA and GGA+U*
  - observe the change in gaps (exp: 4eV) and shift of Ni/O weights
  - compare spin moments (GGA: 1.41; GGA+U: 1.76; GGA+U+SO:1.76;GGA+SO: 1.17 $\mu$ B)
  - compare orbital moments for SO and SO+U calculations (0.16 and 0.17 $\mu$ B)
- *try a TB-mBJ calculation for NiO (start new case, starting from GGA; follow instructions given in P.Blaha's lecture) and compare gap/DOS*



# NiO cont...

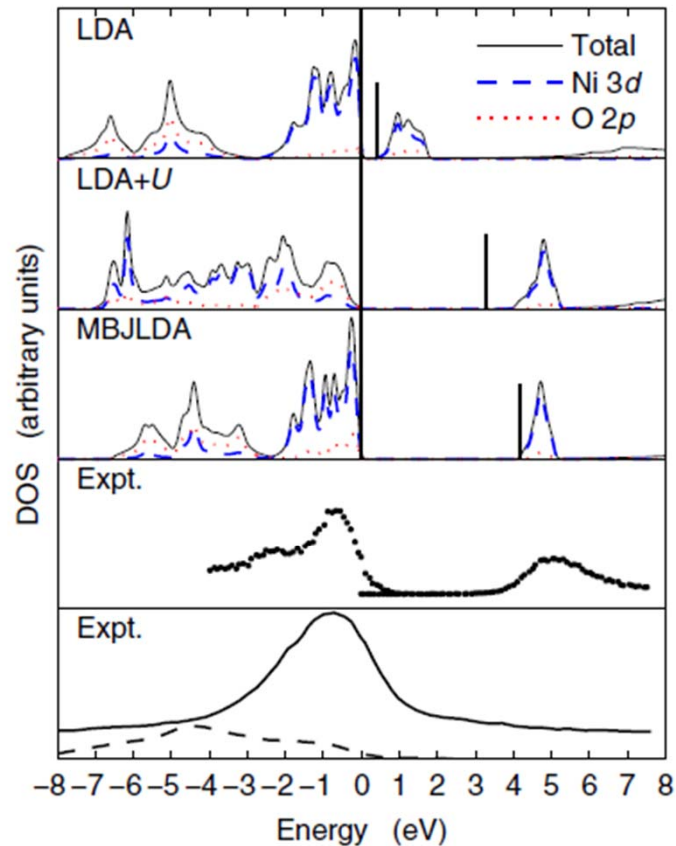
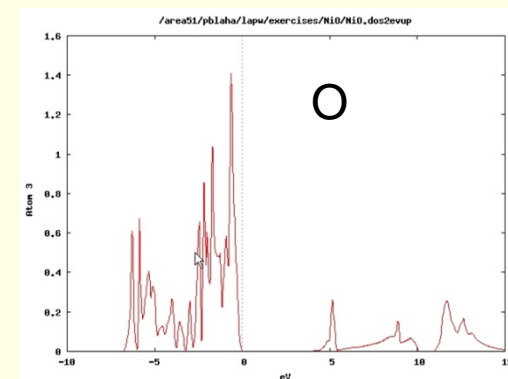
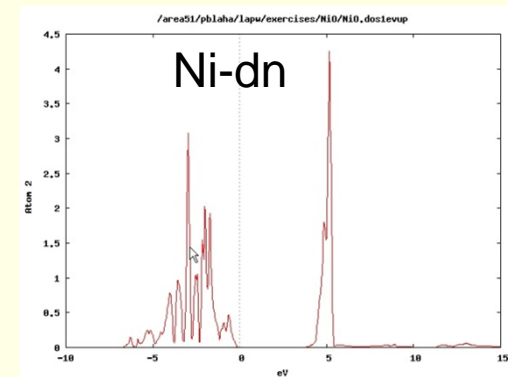
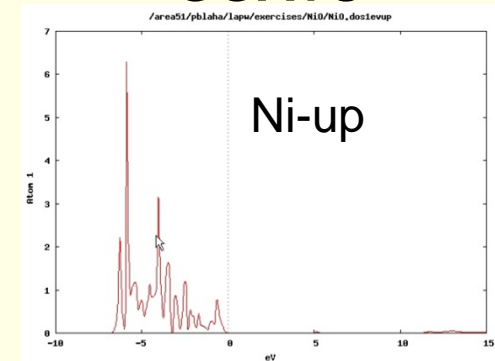


FIG. 2 (color online). DOS of NiO. The vertical bars indicate the end of the fundamental band gap which starts at  $E = 0$  eV. The panels labeled “Expt.” show photoelectron [25] (upper panel) and XES [33] [lower panel, Ni (solid line) and O (dashed line) spectra] measurements.

from Tran, Blaha, PRL 102, 226401 (2009)

## GGA+U







## Exercise 7:



### ■ Optical properties: fcc Al

- $a_0 = 4.05 \text{ \AA}$
- *init\_lapw* (use 165 IBZ k-points only!)
- *run\_lapw*
- *calculate optics* (as described by C.Ambrosch-Draxl, compare with her Fig.)
  - calculate plasma frequency (case.outputjoint) and dielectric function
  - check your results with respect to k-mesh
    - x kgen (check for about 1000 and 4000 IBZ-points)
    - x lapw1
    - x lapw2 -fermi
    - x optic, x joint, x kram

### ■ Optical properties: fcc Pt ( $a_0 = 3.92 \text{ \AA}$ )

- *compare optics without / with spin-orbit coupling* (compare with CAD)
  - do NREL (change RELA to NREL in case.struct) first, do the optics
  - do scalar-relativistic calc., do the optics
  - include spin-orbit: *run\_lapw -so* (case.inso **without RLOs** since optic does not support RLOs; put large Emax in case.in1); optics



## wien2wannier-exercise

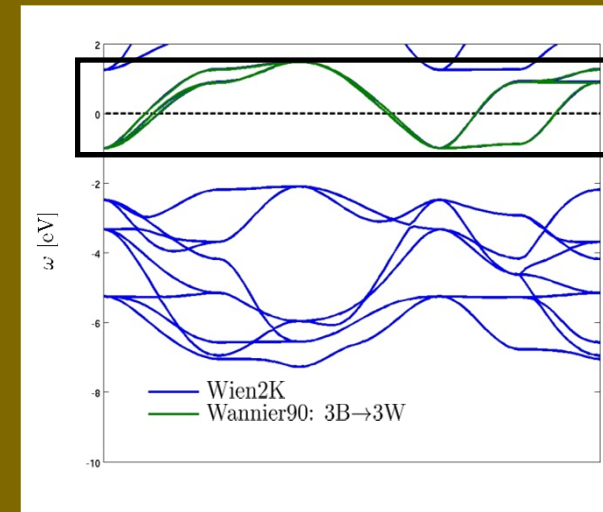
- step by step

- 1) standard wien2k run, obtain bandstructure
- 2) identify target bands (choose low energy model)
- 3) identify character of bands (e.g. partial DOS)
- 4) `init_w2w`
- 5) `x lapw1`
- 6) `w2w case`
- 7) `shift_energy case`
- 8) `wannier90.x case`
- 9) consistency check (bands, spread)



# wien2wannier-exercise

- 1) standard wien2k run, obtain bandstructure
  - create SrVO3 struct; SG:221\_Pm-3m;a=7.2613 bohr; Sr at (0,0,0)  
V at (1/2,1/2,1/2), O at (0,1/2,1/2)
  - init\_lapw, run\_lapw, obtain bandstructure and character plot
- 2) identify target bands (choose low energy model)
  - target model: 3 V-t2g bands around  $E_F$
  - investigate bandstructure, find  $E_{min}, E_{max}$  (in eV) that define your low energy model
- 3) identify character of bands
  - character is V-t2g in perfect octahedral environment
  - find V index (Vidx) in SrVO3.struct
- 4) init\_w2w
  - choose small k-mesh (e.g. 4x4x4)
  - in write\_w2win use <Vidx> d as character





## wien2wannier-exercise

- 5) x lapw1; x lapw2 -fermi
- 6) w2w SrVO3
- 7) shift\_energy SrVO3
- 8) wannier90.x SrVO3
- 9) consistency check (bands, spread)
  - in SrVO3.wout look for "Final spread" (should be  $\sim 1.6 \text{ \AA}^2$ )
  - in gnuplot: p "SrVO3.spaghetti\_ene" u 4:5,  
"SrVO3\_band.dat" u (\$1\*0.53):2 w l
- 10) beyond (ask P.Wissgott)
  - plot Wannier orbitals, compute optical conductivity (woptic)