Spin-orbit coupling and non-collinear magnetism in Wien2k

Robert Laskowski

rolask@theochem.tuwien.ac.at

Vienna University of Technology, Institute of Materials Chemistry



SOC & NCM in Wien2k

Dirac Hamiltonian

Dirac relativistic Hamiltonian provides a quantum mechanical description of electrons, consistent with the theory of special relativity.

$$H_{D} = c \vec{\alpha} \cdot \vec{p} + \beta m c^{2} + V$$

$$\alpha_k = \begin{pmatrix} 0 & 0_k \\ \sigma_k & 0 \end{pmatrix} \qquad \beta_k = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \qquad \sigma_k$$

$$H_{D}$$
 and the wave function are 4-dimensional objects

Pauli matrices:

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

1. . . .



Dirac Hamiltonian





SOC & NCM in Wien2k





Implementation, core electrons

Core electrons are calculated with spin-compensated Dirac equation

for spin polarized potential – spin up and down are calculated separately, the density is averaged according to the occupation number specified in *case.inc* file

		j=l+s/2		κ=-s(j+ ½)		occupation	
	1	s=-1	s=+1	s=-1	s=+1	s=-1	s=+1
S	0	15.6	1/2		-1		2
р	1	1/2	3/2	1	-2	2	4
d	2	3/2	5/2	2	-3	4	6
f	3	5/2	7/2	3	-4	6	8

1 - 1/2			
15	9 0.00		
•	1,-1,2	(N,KAPPA,OCCUP)
$2n^{1/2}$	2,-1,2	(N,KAPPA,OCCUP)
∠µ ◀-	(2, 1, 2)	(N,KAPPA,OCCUP)
2/2	2,-2,4	(N,KAPPA,OCCUP)
2p ^{3/2}	3,-1,2	(N,KAPPA,OCCUP)
	3, 1,2	(N,KAPPA,OCCUP)
200	3,-2,4	(N,KAPPA,OCCUP)
ition	3, 2,4	(N,KAPPA,OCCUP)
s=+1	3,-3,6	(N,KAPPA,OCCUP)
and the second se			

case.inc for Ru atom



Implementation, valence electrons

Valence electrons inside atomic spheres are treated within scalar relativistic approximation (*Koelling and Harmon, J. Phys C 1977*) if *RELA* is specified in struct file

$$\frac{dP}{dr} - \frac{1}{r}P = 2\text{McQ}$$
$$\frac{dQ}{dr} - \frac{1}{r}Q = \left[l\frac{(l+1)}{2\text{Mcr}^2} + \frac{(V-\epsilon)}{c}\right]P$$

radial equations of Koelling and Harmon (spherical potential)

- no κ dependency of the wave function, (I,m,s) are good quantum numbers
- all relativistic effects are included except SOC
- small component enters normalization and calculation of charge inside spheres
- augmentation with large component only
- SOC can be included in "second variation"

Valence electrons in interstitial region are treated classically



Effects of RELA



contraction of Au s orbitals



1s contracts due to relativistic mass enhancement

2s - 6s contract due to orthogonality to 1s

$$M = m / \sqrt{1 - (v/c)^2}$$
 v is proportional to Z: Gold: Z = 79;M = 1.2 m

SOC & NCM in Wien2k

Effects of RELA

- orbital expansion of Au d orbitals
 - 0.4 Au 5d Au 3d 0.3 3 $r^2 \rho$ (e/au) $r^2 \rho$ (e/au) RELA ($\kappa=2$) RELA ($\kappa=2$) RELA (κ =-3) RELA (κ =-3) NRELA (1=2) NRELA (1=2)0.1 0.3 0.1 0.2 0.42 3 r (au) r (au)
 - Higher I-quantum number states expand due to better shielding of core charge from contracted s-states (effect is larger for higher states).



Spin orbit-coupling



$$H_{MT}\phi_{lms} = \epsilon \phi_{lms} + H_{SO}\phi_{lms}$$
$$H_{SO} = \frac{1}{2Mc^2} \frac{1}{r^2} \frac{dV_{MT}(r)}{dr} \begin{bmatrix} P \sigma \cdot L Y_{lm} X_s \\ 0 \end{bmatrix}$$

- SOC is active only inside atomic spheres, only spherical potential (V_{MT}) is taken into account, in the polarized case spin up and down parts are averaged
- eigenstates are not pure spin states, SOC mixes up and down spin states
- off-diagonal term of the spin density matrix is ignored, it means that in each SCF cycle the magnetization is projected on the chosen direction (from case.inso)
- SOC is added in a second variation (lapwso):



second eq. is expanded in the basis of first eigenvectors

$$\sum_{i} \left(\delta_{ij} \varepsilon_{1}^{j} + \left\langle \psi_{1}^{j} \middle| H_{SO} \middle| \psi_{1}^{i} \right\rangle \right) \left\langle \psi_{1}^{i} \middle| \psi \right\rangle = \varepsilon \left\langle \psi_{1}^{j} \middle| \psi \right\rangle$$

sum includes both up/down spin states

N is much smaller then the basis size in lapw1!!

SOC splitting of p states





band edge at Γ in ZnO

SOC & NCM in Wien2k

for extra basis function ($p_{1/2}$ orbital)

wien2k workshop 2008 - p10





Electronic structure of fcc Th, SOC with 6p_{1/2} local orbital

PRB, 64, 1503102 (2001)



SOC & NCM in Wien2k

wien2k workshop 2008 - p11

Au atomic spectra





SOC & NCM in Wien2k

SOC in magnetic systems



- SOC couples magnetic moment to the lattice
 - direction of the exchange field matters (input in case.inso)
- symmetry operations acts in real and spin space
 - number of symmetry operations may be reduced (reflections act differently on spins than on positions)
 - time inversion is not symmetry operation (do not add an inversion for k-list)
 - initso_lapw (must be executed) detects new symmetry setting



direction of magnetization



SOC in Wien2k



- run(sp)_lapw -so script:
 - x lapw1(increase E-max for more eigenvectors in second diag.)x lapws0(second diagonalization)x lapw2so(SOC ALWAYS needs complex lapw2 version)
- case.inso file:



Ilmax,ipr,kpot emin,emax (output energy window) direction of magnetization (lattice vectors) number of atoms for which RLO is added atom number,e-lo,de (case.in1), repeat NX times number of atoms for which SO is switched off; list of atoms

 $p_{1/2}$ orbitals, use with caution !!

Pauli Hamiltonian

$$H_{P} = -\frac{\hbar}{2m} \nabla^{2} + V_{ef} + \mu_{B} \vec{\sigma} \cdot \vec{B}_{ef} + \zeta (\vec{\sigma} \cdot \vec{l}) \dots$$

- 2x2 matrix in spin space, due to Pauli spin operators
- wave function is a 2-component vector (spinor)

Pauli matrices: $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ $\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

Pauli Hamiltonian

SOC & NCM in Wien2k

wien2k workshop 2008 - p16

Exchange and correlation

from DFT LDA exchange-correlation energy:

$$E_{xc}(n,\vec{m}) = \int n\epsilon_{xc}(n,\vec{m}) dr^3$$

local function of n and m

definition of V_{cx} and B_{xc}:

$$V_{xc} = \frac{\partial E_{xc}(n, \vec{m})}{\partial n} \qquad \vec{B}_{xc} = \frac{\partial E_{xc}(n, \vec{m})}{\partial \vec{m}}$$

functional derivatives

• LDA expression for V_{cx} and B_{xc} :

B_{xc} and m are parallel

$$V_{xc} = \epsilon_{xc}(n, \vec{m}) + n \frac{\partial \epsilon_{xc}(n, \vec{m})}{\partial n}$$

 $\vec{B}_{xc} = n \frac{\partial \epsilon_{xc}(n, \vec{m})}{\partial m} \hat{m}$

wien2k workshop 2008 - p17

SOC & NCM in Wien2k

Non-collinear case

$$H_{P} = -\frac{\hbar}{2m} \nabla^{2} + V_{ef} + \mu_{B} \vec{\sigma} \cdot \vec{B}_{ef} + \zeta \left(\vec{\sigma} \cdot \vec{l} \right) \dots$$

- direction of magnetization vary in space
- spin-orbit coupling is present

$$-\frac{\hbar}{2m} \nabla^2 + V_{ef} + \mu_B B_z + \dots \qquad \mu_B \left(B_x - i B_y \right)$$

$$\mu_B \left(B_x + i B_y \right) \qquad -\frac{\hbar}{2m} \nabla^2 + V_{ef} + \mu_B B_z + \dots \qquad \psi = \varepsilon \psi$$

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}, \quad \psi_{1,} \psi_2 \neq 0$$

- solutions are non-pure spinors
- non-collinear magnetic moments

Collinear case

$$H_P = -\frac{\hbar}{2m} \nabla^2 + V_{ef} + \mu_B \vec{\sigma} \cdot \vec{B}_{ef} + \zeta (\vec{\sigma} \cdot \vec{L}) \dots$$

- magnetization in Z direction, B_x and $B_y=0$
- spin-orbit coupling is not present

$$\begin{pmatrix} -\frac{\hbar}{2m} \nabla^2 + V_{ef} + \mu_B B_z + \dots & 0 \\ 0 & -\frac{\hbar}{2m} \nabla^2 + V_{ef} + \mu_B B_z + \dots \end{pmatrix} \psi = \varepsilon \psi$$
$$\psi_{\uparrow} = \begin{pmatrix} \psi_1 \\ 0 \end{pmatrix}, \ \psi_{\downarrow} = \begin{pmatrix} 0 \\ \psi_2 \end{pmatrix}, \ \varepsilon_{\uparrow} \neq \varepsilon_{\downarrow} \quad \text{solutions are pure spinors}$$
$$\bullet \text{ collinear magnetic moments}$$

Non-magnetic case

$$H_{P} = -\frac{\hbar}{2m} \nabla^{2} + V_{ef} + \mu_{B} \vec{o} \cdot \vec{B}_{ef} + \zeta (\vec{o} \cdot \vec{l}) \dots$$

- no magnetization present, B_x, B_y and B_z=0
- spin-orbit coupling is not present

$$\begin{vmatrix} -\frac{\hbar}{2m} \nabla^2 + V_{ef} + \dots & 0 \\ 0 & -\frac{\hbar}{2m} \nabla^2 + V_{ef} + \dots \end{vmatrix} \psi = \varepsilon \psi$$

$$\psi_{\uparrow} = \begin{pmatrix} \psi \\ 0 \end{pmatrix}, \ \psi_{\downarrow} = \begin{pmatrix} 0 \\ \psi \end{pmatrix}, \ \varepsilon_{\uparrow} = \varepsilon_{\downarrow}$$

- solutions are pure spinors
- degenerate spin solutions

Magnetism and Wien2k

• Wien2k can only handle collinear or non-magnetic cases

SOC & NCM in Wien2k

Magnetism and Wien2k

- I E N 2k
- in NCM case both part of the spinor are treated simultaneously

runncm_lapw script:

xncm lapw0
xncm lapw1
xncm lapw2
xncm lcore
xncm mixer

$$\hat{n} = \sum_{nk} \begin{pmatrix} \psi_{\uparrow nk} \\ \psi_{\downarrow nk} \end{pmatrix}^* (\psi_{\uparrow nk} \psi_{\downarrow nk})$$

$$m_z = n_{\uparrow\uparrow} - n_{\downarrow\downarrow} \neq 0$$

$$m_x = \frac{1}{2} (n_{\uparrow\downarrow} + n_{\downarrow\uparrow}) \neq 0$$

$$m_x = i \frac{1}{2} (n_{\uparrow\downarrow} - n_{\downarrow\uparrow}) \neq 0$$
NCM case

DOS

Non-collinear calculations

- in the case of non-collinear arrangement of spin moment WienNCM (Wien2k clone) has to be used
 - code is based on Wien2k (available for Wien2k users)
 - structure and usage philosophy similar to Wien2k
 - independent source tree, independent installation
- WienNCM properties:
 - real and spin symmetry (simplifies SCF, less k-points)
 - constrained or unconstrained calculations (optimizes magnetic moments)
 - SOC is applied in the first variational step, LDA+U
 - spin spirals are available

WienNCM - implementation

• basis set – mixed spinors (Yamagami, PRB (2000); Kurtz PRB (2001)

interstities: $\varphi_{\vec{G}\sigma} = e^{i(\vec{G}+\vec{k})\cdot\vec{r}} \chi_{\sigma} \qquad \chi_{\sigma} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

spheres:

$$\begin{aligned} \varphi_{\vec{\sigma}\sigma}^{APW} &= \sum_{\sigma_{\alpha}} \sum_{lm} \left(A_{lm}^{\vec{\sigma}\sigma\sigma_{\alpha}} u_{l}^{\sigma_{\alpha}} + B_{lm}^{\vec{\sigma}\sigma\sigma_{\alpha}} \dot{u}_{l}^{\sigma_{\alpha}} \right) Y_{lm} X_{\sigma_{\alpha}} \\ \varphi_{\vec{\sigma}\sigma_{\alpha}}^{APW} &= \left(A_{lm}^{\vec{\sigma}\sigma\sigma_{\alpha}} u_{l}^{\sigma_{\alpha}} + B_{lm}^{\vec{\sigma}\sigma\sigma_{\alpha}} \dot{u}_{l}^{\sigma_{\alpha}} + C_{lm}^{\vec{\sigma}\sigma\sigma_{\alpha}} u_{2,l}^{\sigma_{\alpha}} \right) Y_{lm} X_{\sigma_{\alpha}}
\end{aligned}$$

real and spin space parts of symmetry op. are not independent

- symmetry treatment like for SOC always on
- tool for setting up magnetic configuration
- concept of magnetic and non-magnetic atoms

SOC & NCM in Wien2k

wien2k workshop 2008 - p24

WienNCM implementation

• Hamiltonian inside $\hat{H} = -\frac{\hbar}{2m}\nabla^2 + \hat{V} + \hat{H}_{so} + \hat{H}_{orb} + \hat{H}_c$ spheres: AMA and full NC $\hat{V}_{FULL} = \begin{pmatrix} V_{\uparrow\uparrow} & V_{\downarrow\uparrow} \\ V_{\uparrow\downarrow} & V_{\downarrow\downarrow} \end{pmatrix}$ $\hat{V}_{AMA} = \begin{pmatrix} V_{\uparrow\uparrow} & 0 \\ 0 & V_{\downarrow\downarrow} \end{pmatrix}$ calculation $\hat{H}_{so} = \xi \vec{\sigma} \cdot \vec{l} = \xi \begin{pmatrix} \hat{l}_z & \hat{l}_x - i \hat{l}_y \\ \hat{l}_x + i \hat{l}_y & -\hat{l} \end{pmatrix}$ SOC in first diagonalization diagonal orbital field $\hat{H}_{orb} = \sum_{mm'} \begin{pmatrix} |m\rangle V_{mm'}^{\dagger} \langle m'| & 0\\ 0 & |m\rangle V_{mm'}^{\downarrow} \langle m'| \end{pmatrix}$ $\hat{H}_{c} = \mu_{B}\vec{\sigma}\cdot\vec{B}_{c} = \begin{pmatrix} 0 & \mu_{B}\left(B_{cx}-iB_{cy}\right) \\ \mu_{B}\left(B_{cx}+iB_{cy}\right) & 0 \end{pmatrix}$ constraining field

wien2k workshop 2008 - p25

- computational cost increases !!!
- comparing to Wien2k
- size of the Hamiltonian/overlap matrix is doubled
- APW down LO down Wien2k WienNCM

APW up

LO up

NCM Hamiltonian

WienNCM – spin spirals

 $\alpha = \vec{R} \cdot \vec{q}$

• transverse spin wave

 $\vec{m}^n = m\left(\cos(\vec{q} \cdot \vec{R}^n), \sin(\vec{q} \cdot \vec{R}^n) \sin(\theta), \cos(\theta) \right)$

R

- spin-spiral is defined by a vector **q** given in reciprocal space and,
- rotation axis is arbitrary (no SOC), hard-coded as Z

Translational symmetry is lost !!!

WienNCM – spin spirals

- generalized Bloch theorem
 - generalized translations are symmetry operation of the H

 $T_{n} = \left\{ -\vec{q} \cdot \vec{R}_{n} | \boldsymbol{\epsilon} | \vec{R}_{n} \right\}$ $T_{n}^{\dagger} H(\vec{r}) T_{n} = U^{\dagger} (-\vec{q} \cdot \vec{R}_{n}) H(\vec{r} + \vec{R}_{n}) U(-\vec{q} \cdot \vec{R}_{n})$

group of T_n is Abelian

$$\psi_{\vec{k}}(\vec{r}) = e^{i(\vec{k}\cdot\vec{r})} \begin{pmatrix} e^{\frac{i\vec{q}\cdot\vec{r}}{2}} u^{\uparrow}(\vec{r}) \\ e^{\frac{-i\vec{q}\cdot\vec{r}}{2}} u^{\uparrow}(\vec{r}) \end{pmatrix} \qquad 1 \text{-d representations,} \\ \text{Bloch Theorem} \\ T_{n}\psi_{\vec{k}}(\vec{r}) = U(-\vec{q}\cdot\vec{R})\psi_{\vec{k}}(\vec{r}+\vec{R}) = e^{i\vec{k}\cdot\vec{r}}\psi_{\vec{k}}(\vec{r}) \end{pmatrix}$$

• efficient way for calculation of spin waves, only one unit cell is necessary for even incommensurate wave

NCM & Wien2k

Usage

- generate atomic and magnetic structure
 - 1) create atomic structure
 - 2) create magnetic structure

need to specify only directions of magnetic atoms use utility programs: ncmsymmetry, polarangles, ...

- run initnem (initialization script)
- xncm (WienNCM version of x script)
- runncm (WienNCM version of run script)
- find more in manual

WienNCM – case.inncm file

• case.inncm - magnetic structure file

Thank you for your attention

NCM & Wien2k

Intra-atomic non collinearity in Pu

- magnetization density (colors encode magnitude, arrows direction and magnitude)
- runncm -so -orb

Magnetic structure of Mn₃Sn

wien2k workshop 2008 - p33

Mn₃Sn cd.

	SO	fm	afm	ncm 1	ncm 2	ncm 3	ncm 4
$E_{fm} - E \left[Ry \right]$	-	0.0	0.0131	0.0444	0.0444	0.0444	0.0444
	+	0.0	0.0133	0.0441	0.0439	0.0444	0.0445
$M_s \left[\mu_B \right]$	-	3.012	2.684	3.037	3.037	3.037	3.037
	+	3.008	2.679	3.034	3.034	3.038	3.037
efg on Mn	-	-1.657	-2.111	-0.894	-0.894	-0.894	-0.894
$[10^{21}V/m^2]$	+	-1.661	-2.119	-0.892	-0.899	-0.891	-0.894
						-0.898	-0.881
hff on Mn	-	-309.9	-153.1	31.2	31.2	31.2	31.2
[kGauss]	+	-309.6	-152.9	31.1	31.5	31.5	30.9
						32.2	32.1

- in non-so case all ncm structures are symmetry equivalent
- with so ncm structures become inequivalent, additionally for ncm3 and ncm4 Mn, and Mn, are nolonger equivalent to the rest of Mn's

γ Fe, spin spiral

NCM & Wien2k

Magnetic structure of UO₂

NCM & Wien2k

Magnetic structure of UO2

FIG. 1. (a) Total-energy differences (per four UO₂) between noncollinear and collinear structures vs the effective Coulomb interaction U. (b) Energy gain E_{relax} by relaxing the oxygen positions (closed symbols, left scale) and equilibrium oxygen displacements Δ_0 from the ideal fluorite position calculated for the 3-k magnetic ordering (open symbols, right scale). The squares and circles are AMF and FLL-DCC calculations, respectively.

FIG. 2. Total U-EFG for the 3-k structure and its main angular contributions: p-p, d-d, and f-f as a function of O displacement. The s-d and p-f contributions are negligible. The upper inset presents the magnetic moments on U (large spheres) and the lower one the directions of oxygen displacements (small spheres).

R. Laskowski, et al PRB 69, 140408R 2004

Magnetic ground state Eu

FIG. 2. The **q**-dependent exchange parameter calculated using the spin-spiral approach. Comparison of the results for different k-point samplings indicates that the $15 \times 15 \times 15$ mesh is reasonably well converged.

 $(k_{B}T_{N}^{RPA})^{-1} = \frac{3}{4}\frac{1}{N}\sum_{\mathbf{q}}\left\{ [J(\mathbf{Q}) - J(\mathbf{q})]^{-1} + \left[J(\mathbf{Q}) - \frac{1}{2}J(\mathbf{q} + \mathbf{Q}) - \frac{1}{2}J(\mathbf{q} - \mathbf{Q}) \right]^{-1} \right\}$

 $k_B T_N^{MF} = \frac{2}{3} J(\mathbf{Q})$

Kuneš et. al. PRB 70, 174415 (2004)

wien2k workshop 2008 - p38

$$E(\mathbf{q}) = \sin^2(\theta) [J(\mathbf{q}) - J(0)] + J(0) + E_0$$

Thank you for your attention

NCM & Wien2k